

**REDACTED**

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

Irving H. Picard, Esq.  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

Provide your office and home telephone no.

OFFICE: N/A

HOME: (561) 997-2203

Taxpayer I.D. Number (Social Security No.)

8902

1B0166

GERALD BLUMENTHAL  
~~3493 NW 61ST STREET~~  
BOCA RATON, FL 33496 3368

NTC & Co  
FBO GERALD BLUMENTHAL (43067)  
PO BOX 173859  
DENVER, CO 80217

(If incorrect, please change)

**NOTE:** BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

\*\*\*\*\*  
**1. Claim for money balances as of December 11, 2008:**

- a. The Broker owes me a Credit (Cr.) Balance of
- b. I owe the Broker a Debit (Dr.) Balance of

**REDACTED**

**CUSTOMER CLAIM**

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

**BERNARD L. MADOFF INVESTMENT SECURITIES LLC**

In Liquidation

**DECEMBER 11, 2008**

(Please print or type)

Name of Customer: Gerald Blumenthal  
Mailing Address: 3193 NW 61st Street  
City: Boca Raton State: FL Zip: 33496  
Account No.: 1-B0166-3-0  
Taxpayer I.D. Number (Social Security No.): -8902

NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.

\*\*\*\*\*

1. Claim for money balances as of December 11, 2008:

- a. The Broker owes me a Credit (Cr.) Balance of \$ -0-
- b. I owe the Broker a Debit (Dr.) Balance of \$ -0-
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it must be enclosed  
with this claim form. \$
- d. If balance is zero, insert "None." None

2. Claim for securities as of December 11, 2008:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| a. The Broker owes me securities        | <u>X</u>   | _____     |
| b. I owe the Broker securities          | _____      | <u>X</u>  |
| c. If yes to either, please list below: |            |           |

Date of Transaction (trade date)	Name of Security	Number of Shares or Face Amount of Bonds
-------------------------------------	------------------	---

See Nov. 30, 2008 Statement for account 1-B0166-3-0 and 1-B0166-4-0, attached hereto as Exhibit A		
\$ 4,338,515.93	(market value of securities long per statement 1-B0166-3-0)	_____
151,800.00	(market value of securities long per statement 1-B0166-4-0)	_____
(214,360.00)	(market value of securities short per statement 1-B0166-4-0)	_____
Total: \$ 4,275,955.93	_____	_____

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

	<u>YES</u>	<u>NO</u>
3. Has there been any change in your account since December 11, 2008? If so, please explain.	_____	X _____
4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?	_____	X _____
5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker?	_____	X _____
6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)	_____	X _____
7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.	_____	X _____
8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.	_____	X _____
9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.	_____	X _____

Please list the full name and address of anyone assisting you in the preparation of this claim form: Joshua H. Vinik, Milberg LLP,  
One Pennsylvania Plaza, New York, NY 10119

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? If so, give name of that broker.

Please list the full name and address of anyone assisting you in the preparation of this claim form: \_\_\_\_\_

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 3/6/09

Signature \_\_\_\_\_

Date \_\_\_\_\_

Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

**BERNARD L. MADOFF**  
**MADF**  
**INVESTMENT SECURITIES LLC**  
 New York  London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.**  
**GERALD BLUMENTHAL**

4/215 956.93  
 885 Third Avenue  
 New York, NY 10022  
 (212) 230-2424  
 800 334-1343  
 Fax (212) 838-4061

3193 NW 61ST STREET  
 BOCA RATON FL 33496

1-B0166-3-0  
 CONFIRMATION

11/30/08  
 APPROVAL  
 \*\*\*\*6253

1  
 DATE

Bought  
Received

Sold  
Delivered

IN

OUT

**BERNARD L. MADOFF**  
**INVESTMENT SECURITIES LLC**  
 New York  London

**\*\*DUPLICATE\*\* FOR ACCOUNT NTC & CO.**  
**GERALD BLUMENTHAL**

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Fax (212) 838-4061

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BOCA RATON FL 33496

1-B0166-3-0

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\*\*\*\*\*6253

Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

BORROWED AMOUNT	SOLD AMOUNT	INTEREST	DESCRIPTION	PRINCIPAL BALANCE ON ACCOUNT	AMOUNT REFUNDED TO YOUR ACCOUNT
11/12 6,532			76157 AT&T INC	27 52•510	176,625•00
11/12 1,656			76659 CONDO CORTIPS	52•510	87,022•56
			80483 UNITED PARCEL SERVICE CLASS B	52•510	51,495•16
11/12 6,716			80985 CISCO SYSTEMS INC	16•730	112,626•68
11/12 1,932			82111 U.S. Bancorp	28•530	57,128•96
11/12 1,104			89495 UNITED TECHNOLOGIES CORP	73•430	168,764•50
11/12 11,684			89637 GENERAL ELECTRIC CO	53•460	28,732•64
11/12 3,128			93461 VERIZON COMMUNICATIONS	19•630	229,823•92
11/12 3,064			93462	30•410	95,247•48
11/12 3,864			97707 WELLS FARGO & CO NEW	37•400	31,324•40
11/12 2,760			98289 HEWLETT PACKARD CO	29•800	115,301•20
11/12 4,175,000			U.S. TREASURY BILL DUE 11/12/2009	34•900	96,434•00
11/12			FIDELITY SPARTAN	99•936	4,172,328•00
			U.S. TREASURY MONEY MARKET	DIV	13•40
			DIV 11/12/08		
			FIDELITY SPARTAN		
			U.S TREASURY MONEY MARKET	1	9,396•00
			DIV 11/19/08		1•43
			CONTINUED ON PAGE 3		

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York □ London

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**New York**  **London**

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BOUGHT SOLD REFRESHED	SOLD PENTERED	TIN	DESCRIPTION	AMT OR SYMBOL	AMT OUT PENTERED	AMT IN PENTERED	AMT IN CORRECTED TO CORRECTED ACCT
	276		GOOGLE	292.960			
	2,760		HEWLETT PACKARD CO	354260			
	62518		INTERNATIONAL BUSINESS MACHINES	11800			
	1,584		J.P. MORGAN CHASE & CO	314600			
	4,140		JOHNSON & JOHNSON	31.660			
	3,026		MEDICAL DEVICES CORP	58.580			
	17,283		MERCK & CO	58.750			
	2,392		MICROSOFT CORP	26.720			
	8,740		ORACLE CORPORATION	20.220			
	4,416		PATENTED MEDICINE LTD	16.090			
	7,740		Pfizer Inc	53.760			
	7,452		PHILLIP MORRIS INTERNATIONAL	16.430			
	2,300		PROCTER & GAMBLE CO	42.160			
	3,312		QUALITY INNOVATION INC	64.350			
	1,760		SCHLUMBERGER LTD	33.570			
	1,380		FIDELITY SPARTAN	56.740			
	10,233		U.S. TREASURY MONEY MARKET	1			
	1,932		U.S. BANCO DE	26.200			
	1,104		UNITED PARCEL SVC INC	57.600			
	2,757,000		CLASS B U.S. TREASURY BILL	99.971			
			BUY 07/26/2009				
			SELL 07/26/2009				
	1,104		UNITED TECHNOLOGIES CORP	48.530			
			CONTINUED ON PAGE 5				

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QUANTITY	PRICE	DESCRIPTION	PRICE OF SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
3,128		VERIZON COMMUNICATIONS	32.650		
2,392		WAL-MART STORES INC	55.880		
3,264		WELLS FARGO & CO NEW	28.830		
		MARKET VALUE OF SECURITIES			
		LONG			
		SHORT			

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New York □ London

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**Properties International Limited**  
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1-B0166-4-0  
11/30/08

96-135-6253  
\*\*\*\*\*6253  
11/30/08  
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DATE	POSITION CHANGED RECORD	OLD BALANCE	NEW BALANCE	DESCRIPTION	PRICE OF SWING	AMOUNT DELETED FROM YOUR ACCOUNT	AMOUNT DELETED TO OTHER ACCOUNT
				BALANCE FORWARD			
11/12	92	41,549	45,875	S E P 100 INDEX NOVEMBER 480 CALL S & P 100 INDEX	17.800	163,852.00	260,154.00
11/19	92	36,305	36,305	NOVEMBER 450 PUT DECEMBER 450 CALL S & P 100 INDEX	30	276,092.00	324,162.00
11/19	92	36,305	36,305	S E P 100 INDEX NOVEMBER 480 CALL S & P 100 INDEX	37	340,308.00	317,202.00
				NOVEMBER 450 PUT NEW BALANCE			
				SECURITY POSITIONS	MKT PRICE		
				S E P 100 INDEX DECEMBER 450 CALL	23.00		
				S & P 100 INDEX	16.500		
				DECEMBER 420 PUT			
				MARKET VALUE OF SECURITIES			
				LONG SHORT			
				151,800.00 214,360.00			

**EXHIBIT B**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
4. The Claimant hereby requests that the Claim Form be considered as a proof of claim in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.

8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.
10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. The Claimant submits herewith documents in support of the Claimant's claim, including documents containing information regarding account transactions, such as contributions and/or withdrawals. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents, if deemed necessary. Below is a list of the documents submitted herewith:

**1995:**

- Copies of certain Account Statements for period 8/31 - 12/31.
- Copy of Letter from Retirement Accounts Inc. to Gerald Blumenthal dated July 25 with attachment.
- Copy of 1099-R Data Request Form for Distribution from Qualified Retirement Plans.

**1996:**

- Copies of certain Account Statements for period 1/31 - 12/31.

**1997:**

- Copies Certain Account Statements for period 1/31 - 12/31.

**1998:**

- Copy of Individual Retirement Account Statement, Account Summary, 10/1-12/31.
- Copies of certain Account Statements for period 1/31-12/31.

**1999:**

- Copies of certain Account Statements for period 1/31-12/31.
- Copy of Individual Retirement Account Statement, Account Summary 7/1-9/30 and 10/1-12/31. Retirement Accounts, Inc.

**2000:**

- Copies of Certain Account Statements for period 1/31-12/31.
- Copy of Individual Retirement Account Statement, Account Summary, 10/1-12/31.

**2001:**

- Copies of Certain Account Statements for period 1/31-12/31.
- Copy of Individual Retirement Account Statement, Account Summary 10/1-12/31.

**2002:**

- Copy of Individual Retirement Account Statement, Account Summary 10/1-12/31, Retirement Accounts, Inc.

**2003:**

- Copies of certain Account Statements for period 1/31-12/31.
- Copy of Individual Retirement Account Statement, Account Summary 10/1-12/31, Retirement Accounts, Inc.

**2004:**

- Copies of certain Account Statements for period 1/31-12/31.
- Copies of Portfolio Management Reports dated 3/31 and 6/30.
- Copy of Individual Retirement Account Statement, Account Summary 10/1-12/31, Retirement Accounts, Inc.

**2005:**

- Copies of Certain Account Statements for period 1/31-12/31.
- Copy of Traditional IRA Account Summary 10/1-12/31, Fiserv.

**2006:**

- Copies of Certain Account Statements for period 1/31-12/31.
- Copy of Traditional IRA Account Summary 10/1-12/31, Fiserv.

**2007:**

- Copies of certain Account Statements for period 1/31-12/31.
- Copy of Traditional IRA Account Summary 10/1-12/31, Fiserv.

**2008:**

- Copies of certain Account Statements for period 9/30-11/30.
- Copy of Traditional IRA Account Summary 10/1-12/31, Fiserv.

**2009:**

- Copy of Letter from Fiserv Investment Support Services to Gerald Blumenthal dated February 6.